

FATCA XML Reporting Schema v1.1 – How to Void a Record

1.1 About Void_Sample.zip

This is a sample FATCA Report that includes data files used to void a record. The file includes a description of the content for **Void_Sample.zip** and a brief overview of data elements to void a report. For information on other FATCA resources, refer to www.irs.gov/fatca.

Important: Do not transmit samples files as test files.

1.2 Content

This document is organized as follows:

File Name and Description	Filing Scenario
Original_2IW3WK.00000.LE.152 Initial FATCA Report	A financial institution submits a FATCA Report that contains account records and a pool report.
Original_000000.00000.TA.840_Payload IRS Notification for the initial report	The Reporting FI receives a valid file notification (NVF) with record level error.
Void_2IW3WK.00000.LE.152 Voided FATCA Report	The Reporting FI determines the pool report record should be voided. The Reporting FI prepares a void report and ensures the voided data matches the original record.
Void_000000.00000.TA.840_Payload IRS Notification for voided report	The Reporting FI receives a valid file notification (NVF) with no record level errors.

1.3 To Void a Record

You may void a record at any time after you receive a notification. A voided record is permanently deleted and based on the scenario you may be required to submit a new record if there is an error in one of the fields:

- No Account Holder or Substantial US Owner TIN
- Incorrect Account Holder or Substantial US Owner TIN
- Incorrect Account Holder or Substantial US Owner Name
- Incorrect Account Holder or Substantial US Owner Name and Address

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Excerpt from “Void_2IW3WK.00000.LE.152”. A record may be voided as follows:

```
<?xml version="1.0" encoding="UTF-8"?>
<fic:FATCA_OECD version="1.1" xmlns:sfa="urn:oecd:ties:stffatcatypes:v1" xmlns:iso="urn:oecd:ties:isofatcatypes:v1" xmlns:fic="urn:oecd:ties:fatca:v1"
xmlns="urn:oecd:ties:fatca:v1" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  - <fic:MessageSpec>
    <sfa:SendingCompanyID>2IW3WK.00000.LE.152</sfa:SendingCompanyID>
    <sfa:TransmittingCountry>CL</sfa:TransmittingCountry>
    <sfa:ReceivingCountry>US</sfa:ReceivingCountry>
    <sfa:MessageType>FATCA</sfa:MessageType>
    <sfa:MessageRefId>a11z421f-7a8p-4e01-7jul-341465f6fe8a</sfa:MessageRefId>
    <sfa:CorrMessageRefId>a11z421f-7a8p-4e01-7jul-341465f6fe8a</sfa:CorrMessageRefId>
    <sfa:ReportingPeriod>2014-12-31</sfa:ReportingPeriod>
    <sfa:Timestamp>2015-07-29T09:20:20</sfa:Timestamp>
  </fic:MessageSpec>
  - <fic:FATCA>
    - <fic:ReportingFI>
      <sfa:ResCountryCode>CL</sfa:ResCountryCode>
      <sfa:TIN>2IW3WK.00000.LE.152</sfa:TIN>
      <sfa:Name>Reporting FI Name 152.a</sfa:Name>
      - <sfa:Address>
        <sfa:CountryCode>US</sfa:CountryCode>
        <sfa:AddressFree>123 Address/City/State/Zip/Country</sfa:AddressFree>
      </sfa:Address>
      - <fic:DocSpec>
        <fic:DocTypeIndic>FATCA13</fic:DocTypeIndic>
        <fic:DocRefId>2IW3WK.00000.LE.152.a22z421f-7a8p-4e01-7jul-341465f6fe8a</fic:DocRefId>
        <fic:CorrMessageRefId>a11z421f-7a8p-4e01-7jul-341465f6fe8a</fic:CorrMessageRefId>
        <fic:CorrDocRefId>2IW3WK.00000.LE.152.a11z421f-7a8p-4e01-7jul-341465f6fe8a</fic:CorrDocRefId>
      </fic:DocSpec>
    </fic:ReportingFI>
    - <fic:ReportingGroup>
      - <fic:PoolReport>
        - <fic:DocSpec>
          <fic:DocTypeIndic>FATCA13</fic:DocTypeIndic>
          <fic:DocRefId>2IW3WK.00000.LE.152.a22z421f-7a8p-4e01-7jul-3414a5g6fe8a</fic:DocRefId>
          <fic:CorrMessageRefId>a11z421f-7a8p-4e01-7jul-341465f6fe8a</fic:CorrMessageRefId>
          <fic:CorrDocRefId>2IW3WK.00000.LE.152.a11z421f-7a8p-4e01-7jul-3414a5g6fe8a</fic:CorrDocRefId>
        </fic:DocSpec>
        <fic:AccountCount>0</fic:AccountCount>
        <fic:AccountPoolReportType>FATCA201</fic:AccountPoolReportType>
        <fic:PoolBalance currCode="USD">800000.00</fic:PoolBalance>
      </fic:PoolReport>
    </fic:ReportingGroup>
  </fic:FATCA>
</fic:FATCA_OECD>
```

MessageRefId: Create a new ID for future reference

CorrMessageRefId: Identify the MessageRefId for voided, amended or corrected message

Complete the DocSpec element in each section:

DocTypeIndic: FATCA3

DocRefId: Create a new DocRefId for future reference

CorrMessageRefId: Identify the MessageRefId for the voided message

CorrDocRefId: Identify the DocRefId for the voided record

The data to be voided must match the original record.